

**Investor complaints data for Stock Broker - SUNIDHI SECURITIES AND FINANCE LTD**

**Data for every month ending JANUARY-2026**

| SN | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of month**  |                                | Average Resolution on time^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|--------------------------------|--------------------------------|---------------------------------------|
|    |                         |                                     |                           |               |           | Pending for less than 3 months | Pending for more than 3 months |                                       |
| 1  | Directly from Investors | NIL                                 | NIL                       | NIL           | NIL       | NIL                            |                                | NIL                                   |
| 2  | SEBI (SCORES 2.0)       | NIL                                 | NIL                       | NIL           | NIL       | NIL                            |                                | NIL                                   |
| 3  | Stock Exchanges         | NIL                                 | NIL                       | NIL           | NIL       | NIL                            |                                | NIL                                   |
| 4  | Other Sources (if any)  | NIL                                 | NIL                       | NIL           | NIL       | NIL                            |                                | NIL                                   |
| 5  | Grand total             | NIL                                 | NIL                       | NIL           | NIL       | NIL                            |                                | NIL                                   |

**Trend of monthly disposal of complaints**

| SN | Month          | Carried forward From Previous month | Received | Resolved* | Pending** |
|----|----------------|-------------------------------------|----------|-----------|-----------|
| 1  | April-2025     | NIL                                 | 1        | 1         | NIL       |
| 2  | May-2025       | NIL                                 | NIL      | NIL       | NIL       |
| 3  | June-2025      | NIL                                 | NIL      | NIL       | NIL       |
| 4  | July-2025      | NIL                                 | NIL      | NIL       | NIL       |
| 5  | August-2025    | NIL                                 | NIL      | NIL       | NIL       |
| 6  | September-2025 | NIL                                 | NIL      | NIL       | NIL       |
| 7  | October-2025   | NIL                                 | 1        | 1         | NIL       |
| 8  | Novemer-2025   | NIL                                 | NIL      | NIL       | NIL       |
| 9  | December-2025  | NIL                                 | NIL      | NIL       | NIL       |
| 10 | January-2026   | NIL                                 | NIL      | NIL       | NIL       |

**Trend of annual disposal of complaints**

| SN | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2018-19            | NIL                                | NIL                      | NIL                      | NIL                            |
| 2  | 2019-20            | NIL                                | NIL                      | NIL                      | NIL                            |
| 3  | 2020-21            | NIL                                | NIL                      | NIL                      | NIL                            |
| 4  | 2021-22            | NIL                                | NIL                      | NIL                      | NIL                            |
| 5  | 2022-23            | NIL                                | NIL                      | NIL                      | NIL                            |
| 6  | 2023-24            | NIL                                | NIL                      | NIL                      | NIL                            |
| 7  | 2024-25            | NIL                                | 1                        | 1                        | NIL                            |
| 8  | 2025-26            | NIL                                | 2                        | 2                        | NIL                            |
|    | <b>Grand Total</b> | <b>NIL</b>                         | <b>3</b>                 | <b>3</b>                 | <b>NIL</b>                     |

\*Inclusive of complaints of previous months resolved in the current month, if any

\*\* Inclusive total complaints pending as on the last day of the month, if any

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

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